



STATE OF WEST VIRGINIA
FEDERAL FAMILY and MEDICAL LEAVE ACT (FMLA)
and/or STATE PARENTAL LEAVE ACT (PLA)

Supplemental Certification of Health Care Provider for
Family Member's Serious Health Condition

SECTION I: For Completion by the EMPLOYER

INSTRUCTIONS to the EMPLOYER: This form should be required when Form DOP-L3 does not
provide sufficient information. The federal Family and Medical Leave Act (FMLA) and West Virginia
Parental Leave Act (PLA) provide that an employer may require an employee seeking FMLA protections
because of a need for leave to care for a covered family member with a serious health condition to submit
a medical certification issued by the health care provider of the covered family member. Please complete
Section I before giving this form to your employee. You may not ask the employee to provide more
information than allowed under the FMLA regulations, 29 C.F.R. §§825.306-825.308. Employers must
generally maintain records and documents relating to medical certifications, recertifications, or medical
histories of employees' family members, created for FMLA and PLA purposes as confidential medical
records in separate files/records from the usual personnel files and in accordance with 29 C.F.R.
§1630.14(c)(1), if the Americans with Disabilities Act applies.

Employer name and contact: \_\_\_\_\_

SECTION II: For Completion by the EMPLOYEE

INSTRUCTIONS to the EMPLOYEE: Please complete Section II before giving this form to your family
member or his or her medical provider. The FMLA and PLA permit an employer to require that you submit
a timely, complete, and sufficient medical certification to support a request for leave to care for a covered
family member with a serious health condition. If requested by your employer, your response is required to
obtain or retain the benefit of FMLA and/or PLA protections. Failure to provide a complete and sufficient
medical certification may result in a denial of your leave request. Your employer must give you at least 15
calendar days to return this form to your employer.

Your name: \_\_\_\_\_
First Middle Last

Name of family member for whom you will provide care: Relationship of family member
to you:
First Middle Last Relationship

If family member is your son or daughter, date of birth: \_\_\_\_\_

Describe care you will provide to your family member and estimate leave needed to provide care:

Employee Signature

Date

**SECTION III: For Completion by the HEALTH CARE PROVIDER**

INSTRUCTIONS to the HEALTH CARE PROVIDER: The employee listed above has requested leave under the FMLA and/or PLA to care for your patient. Answer, fully and completely, all applicable parts below. Several questions seek a response as to the frequency or duration of a condition, treatment, etc. Your answer should be your best estimate based upon your medical knowledge, experience, and examination of the patient. Be as specific as you can; terms such as "lifetime," "unknown," or "indeterminate" may not be sufficient to determine FMLA/PLA coverage. Limit your responses to the condition for which the patient needs leave. Page 4 provides space for additional information, should you need it. Please be sure to sign the form on the last page.

The Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits employers and other entities covered by GINA Title II from requesting or requiring genetic information of an individual or family member of the individual, except as specifically allowed by this law. To comply with this law, we are asking that you not provide any genetic information when responding to this request for medical information. "Genetic Information" as defined by GINA includes an individual's family medical history, the results of an individual's or family member's genetic tests, the fact that an individual or an individual's family member sought or received genetic services, and genetic information of a fetus carried by an individual or an individual's family member or an embryo lawfully held by an individual or family member receiving assistive reproductive services. **Family medical history is required only to the extent necessary to make the medical certification complete and sufficient under FMLA.**

Provider's name and business address: \_\_\_\_\_

Type of practice / Medical specialty: \_\_\_\_\_

Telephone: (\_\_\_\_\_) \_\_\_\_\_ Fax:(\_\_\_\_\_) \_\_\_\_\_

**PART A: MEDICAL FACTS**

1. Approximate date condition commenced: \_\_\_\_\_

Probable duration of condition (required): \_\_\_\_\_

Was the patient admitted for an overnight stay in a hospital, hospice, or residential medical care facility?  No  Yes If so, dates of admission: \_\_\_\_\_

\_\_\_\_\_

Date(s) you treated the patient for condition: \_\_\_\_\_

Was medication, other than over-the-counter medication, prescribed?  No  Yes

Will the patient need to have treatment visits at least twice per year due to the condition?

No  Yes

Was the patient referred to other health care provider(s) for evaluation or treatment (e.g., physical therapist)?  No  Yes If so, state the nature of such treatments and expected duration of treatment: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

2. Is the medical condition pregnancy?  No  Yes If so, expected delivery date: \_\_\_\_\_

3. Describe other relevant medical facts, if any, related to the condition for which the patient needs care (such medical facts may include symptoms, diagnosis, or any regimen of continuing treatment such as the use of specialized equipment):

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**PART B: AMOUNT OF CARE NEEDED:** When answering these questions, keep in mind that your patient's need for care by the employee seeking leave may include assistance with basic medical, hygienic, nutritional, safety or transportation needs, or the provision of physical or psychological care:

4. Will the patient be incapacitated for a single continuous period of time, including any time for treatment and recovery?  No  Yes

Estimate the beginning and ending dates for the period of incapacity:

FROM: \_\_\_\_\_ TO: \_\_\_\_\_ (required)

During this time, will the patient need care?  No  Yes

Explain the care needed by the patient and why such care is medically necessary:

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5. Will the patient require follow-up treatments, including any time for recovery?  No  Yes

Estimate treatment schedule, if any, including the dates of any scheduled appointments and the time required for each appointment, including any recovery period: \_\_\_\_\_

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6. Will the patient require care on an intermittent or reduced schedule basis, including any time for recovery?  No  Yes

Estimate the hours the patient needs care on an intermittent basis, if any:

\_\_\_\_\_ hour(s) per day                      From Date: \_\_\_\_\_

\_\_\_\_\_ days per week                      Through Date: \_\_\_\_\_

Explain the care needed by the patient, and why such care is medically necessary:

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7. Will the condition cause episodic flare-ups periodically preventing the patient from participating in normal daily activities?  No  Yes

Based upon the patient's medical history and your knowledge of the medical condition, estimate the frequency of flare-ups and the duration of related incapacity that the patient may have over the next 6 months (e.g., 1 episode every 3 months lasting 1-2 days):

Frequency: \_\_\_\_\_ times per \_\_\_\_\_ week(s) \_\_\_\_\_ month(s)

Duration: \_\_\_\_\_ hours or \_\_\_\_\_ day(s) per episode

Does the patient need care during these flare-ups?  No  Yes

Explain the care needed by the patient, and why such care is medically necessary:

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**ADDITIONAL INFORMATION (Identify question number with your additional answer):**

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\_\_\_\_\_  
Signature of Health Care Provider

\_\_\_\_\_  
Date